

# Audit and Governance Committee Forward Plan

**Strategic delivery:**       Setting standards       Increasing and informing choice       Demonstrating efficiency economy and value

## Details:

Meeting      Audit & Governance Committee Forward Plan

Agenda item      15

Paper number      AGC (03/10/2017) 568

Meeting date      3 October 2017

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## Output:

For information or decision?      Decision

Recommendation      The Committee is asked to review and make any further suggestions and comments and agree the plan.

Resource implications      None

Implementation date      N/A

Organisational risk       Low       Medium       High

Not to have a plan risks incomplete assurance, inadequate coverage or unavailability key officers or information

Annexes      N/A

## Audit & Governance Committee Forward Plan

<b>AGC Items Date:</b>	21 Mar 2017	13 Jun 2017	3 Oct 2017	5 Dec 2017
<b>Following Authority Date:</b>	10 May 2017	28 Jun 2017	15 Nov 2017	Jan 2018
<b>Meeting 'Theme/s'</b>	Finance and Resources	Annual Reports, Information Governance, People	Strategy & Corporate Affairs, AGC review	Register and Compliance, Business Continuity
<b>Reporting Officers</b>	Director of Finance & Resources	Director of Finance & Resources	Director of Strategy & Corporate Affairs	Director of Compliance and Information
<b>Strategic Risk Register</b>	Yes	Yes	Yes	Yes
<b>Information for Quality (IfQ) Prog</b>	Yes	Yes	Yes	Yes
<b>Annual Report &amp; Accounts (inc Annual Governance Statement)</b>		Yes – For approval		
<b>External audit (NAO) strategy &amp; work</b>	Interim Feedback	Audit Completion Report	Audit Planning Report	Audit Planning Report
<b>Information Assurance &amp; Security</b>		Yes		
<b>Internal Audit Recommendations Follow-up</b>	Yes	Yes	Yes	Yes
<b>Internal Audit</b>	Update	Results, annual opinion approve draft plan	Update	Update
<b>Whistle Blowing, fraud (report of any incidents)</b>	Update as necessary	Update as necessary	Update as necessary	Update as necessary
<b>Contracts &amp; Procurement including SLA management</b>	Update as necessary	Update as necessary	Update as necessary	Update as necessary

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HR, People Planning & Processes		Yes		
Strategy & Corporate Affairs management			Yes	
Regulatory & Register management				Yes
Resilience & Business Continuity Management	Yes	Yes	Yes	Yes
Finance and Resources management	Yes			
Reserves policy			Yes	
Review of AGC activities & effectiveness, terms of reference				Yes
Legal Risks			Yes	
AGC Forward Plan	Yes	Yes	Yes	Yes
Session for Members and auditors	Yes	Yes	Yes	Yes
Other one-off items				